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(Modified) PTO/SB/21 (6-98)
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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 09/696,893
Filing Date October 26, 2000
First Named Inventor Fred Cohen
Group Art Unit 2152
Examiner Name Unassigned
Attorney Docket Number 511-000110US

Total Number of Pages in This Submission

5

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	receipt acknowledgment postcard
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Authorization to Charge Deposit Account Please charge Deposit Account No. 50-0893 for any additional fees associated with this paper or during the pendency of this application, including any extensions of time for consideration of the documents enclosed.	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	Remarks	

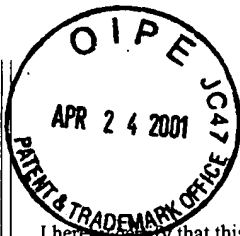
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Stephen J. LeBlanc, Reg. No. 36,579, The Law Offices of Jonathan Alan Quine
Signature	<i>Stephen J. LeBlanc</i>
Date	20 April 2001

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By Tracie Brooks
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Attorney Docket No. 511-000110US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Fred Cohen.

Application No.: 09/696,893

Filed: October 26, 2000

For: METHOD AND APPARATUS FOR
NETWORK DECEPTION/EMULATION

Examiner: Unassigned

Art Unit: 2152

INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR § 1.97 and
§ 1.98

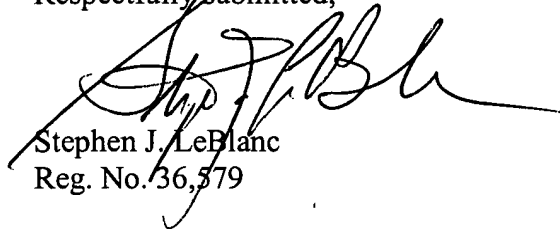
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The references cited on attached form PTO-1449 are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 50-0893. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Stephen J. LeBlanc
Reg. No. 36,579

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Substitute for form 1449A-B/P INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)	Complete if Known	
	Application Number	09/696,893
	Filing Date	October 26, 2000
	First Named Inventor	Fred Cohen
	Group Art Unit	2152
	Examiner Name	Unassigned
	Attorney Docket Number	511-000110US

U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code (if known)			
	AA	5,032,979		Hecht et al.	07-16-1991	
	AB	5,414,833		Hershey et al.	05-09-1995	
	AC	5,488,715		Wainwright	01-30-1996	
	AD	5,557,742		Smaha et al.	09-17-1996	
	AE	5,606,668		Shwed	02-25-1997	
	AF	5,621,889		Lermuzeaux et al.	04-15-1997	
	AG	5,796,942		Esbensen	08-18-1998	

FOREIGN PATENT DOCUMENTS								
Examiner Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		Office	Number	Kind Code (if known)				

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
	AH	HASENSTEIN, M.; DIPLOMARBEIT, IP Network Address Translation, http://www.suse.de/~mha/HyperNews/get/linux-ip-nat.html (1997) 32 pages	
	AI	Microsoft TechNet; SNA Open Gateway Architecture, http://www.microsoft.com/TechNet/sna/technote/soga.asp , pages 1-20	
	AJ	Gateways, http://www.sohointer.net , 15 pages	
	AK	ComSoft Knowledge Share; http://www.vicomsoft.com/knowledge/reference/firewalls1.html , pages 1-10	
	AL	Microsoft Windows 2000 Server Documentation http://www.windows.com/windows2000/en/server/help/sag_rras-ch2-adv_11.htm , 1 page	
	AM	Cisco IOS Network Address Translation (NAT) http://www.ieng.com/warp/public/701/60.html , pages 1-9	
	AN	ENTERASYS Networks, Network Address Translation, http://www.enterasys.com/products/whitepapers/ssr/network-trans/ pages 1-6	

Examiner Signature	Date Considered
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Substitute for form 1449A B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)	Complete if Known	
	Application Number	09/696,893
	Filing Date	October 26, 2000
	First Named Inventor	Fred Cohen
	Group Art Unit	2152
	Examiner Name	Unassigned
	Attorney Docket Number	511-000110US

AO	WINKLER, J.R., A Unix Prototype For Intrusion And Anomaly Detection In Secure Networks, <u>Proceedings, National Computer Security Conference</u> , Washington D.C. Oct. 1990, Planning Research Corp.	
AP	SEBRING, M.M, et al. Expert Systems In Intrusion Detection; A Case-Study, Proceedings of the 11 th National Computer Security Conference, 1988, pp 74-81	
AQ	DEBAR, H., et al. A Neural Network Component For An Intrusion Detection System, Proceedings of the 1992 IEEE Computer Society Symposium on Research in Security and Privacy, Cedex, France, 1992 pp 240-250	
AR	DOWELL, C., et al. The ComputerWatch Data Reduction Tool, Proceedings of the 13 th National Computer Security Conference, 1990, pp 99-108	
AS	SNAPP, S.R. et al, DIDS (Distributed Intrusion Detection System) - Motivation, Architecture, And An Early Prototype, Proceedings of the 14 th National Computer Security Conference, 1991, pp 167-176	
AT	TENER, William T., Discovery; An Exret System In The Commerical Data Security Environment., Originally presented at the Fourth IFIP Symposium on Information Systems Security, Monte Carlo, 1986. Also appeared in <u>Security and Protection in Information Systems</u> , ed. Andre Grissonanche, pp. 261-68. 1989 Elsevier Science Publishers, B.V. (North Holland) pages 45-53 as submitted.	
AU	SNAPP, S.R. Signature Analysis And Communication Issues In A Distributed Intrusion Detection System, 1991, Committee in Charge, submitted in partial satisfaction of the requirements for Master of Science in Computer Science at the University of California Davis pp I-40	
AV	AVRITZER, A. et al., Reliability Testing Of Rule-Based Systems, 1996 International Symposium on Software Reliability Engineering, White Plains, N.Y., October 30-November 2. (7 pages)	

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